# Ideal lattices in multicubic fields

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# Outline

### Motivation

- Cryptography
- Lattice-based cryptography

#### Recalls

- Lattices
- Cryptography and ideal lattices
- Cyclotomic and multiquadratic fields

### 3 Our work

- General Framework
- Procedures
- Results

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## Post-quantum cryptography

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- \* Two main mathematical problems : Factorization and Discrete Logarithm.
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- \* The American National Security Agency (NSA) announced they were considering quantum computers as a real threat and were moving towards post-quantum cryptography.
- April 2016 : The American National Institute for Standards and Technology (NIST) announced it will launch a call for standardization for post-quantum cryptosystems.
  - $\longrightarrow$  now in Round 2.

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- \* One family of post-quantum cryptography is based on euclidean lattices.
- $\star$  For efficiency reasons we use structured lattices e.g. ideal lattices.

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We are interested in the following problem : Given a principal ideal of a number field K find a short generator of K. (SG-PIP)

- Cramer, Ducas, Peikert, Regev (2016): quantum polynomial-time or classical 2<sup>n<sup>2/3+e</sup></sup>-time algorithm to solve Short Generator Principal Ideal Problem (SG-PIP) on cyclotomic fields
- \* Bauch, Bernstein, de Valence, Lange, van Vredendaal (2017): classical polynomial-time algorithm to solve SG-PIP on a class of multiquadratic fields

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We call lattice any discrete subgroup  $\mathcal{L}$  of  $\mathbb{R}^n$  where *n* is a positive integer i.e. a free  $\mathbb{Z}$ -submodule of  $\mathbb{R}^n$ 

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Some are consider better than others : orthogonality, short vectors

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Shortest Vector Problem (SVP) : Find the shortest vector of  $\mathcal{L}$ . Note  $\lambda_1(\mathcal{L})$  its norm.

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 $\gamma$ -Approximate Shortest Vector Problem ( $\gamma$ -SVP) : Find a vector of  $\mathcal{L}$  with norm less than  $\gamma \times \lambda_1(\mathcal{L})$ 

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Closest Vector Problem (CVP): Given t a target vector, find a vector of  $\mathcal{L}$  closest to t



Approximate Closest Vector Problem ( $\gamma$ -CVP): Given t a target vector, find a vector of  $\mathcal{L}$  within distance  $\gamma \times d(t, \mathcal{L})$  of t

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\* *K* a number field i.e. a finite extension of  $\mathbb{Q}$ ...  $\mathbb{O}[X]$ 

$$K \simeq \frac{\langle e_1 \cdot f \rangle}{(P(X))}$$

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$$\star \ \mathcal{O}_{K}^{\times} \text{ the group of units of } \mathcal{O}_{K} \ \text{(or } K) \\ \mathcal{O}_{K}^{\times} = \left\{ u \in \mathcal{O}_{K} \mid u^{-1} \in \mathcal{O}_{K} \right\}$$

\* I an ideal of  $\mathcal{O}_{K}^{\times}$  i.e. an additive subgroup stable by multiplication.  $\diamond$  principal ideals : generated by an element i.e  $g\mathcal{O}_{K}$ 

### Log-unit lattice

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 $Log(\mathcal{O}_{K}^{\times})$  is a lattice of rank  $r_{1} + r_{2} - 1$ .

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  - $\star$  g is private.

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Log(g) small : error

Can be seen as a CVP.

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The cyclotomic field  $K = \mathbb{Q}(\zeta_m)$ Not use the full group  $\mathcal{O}_K^{\times}$  but subgroup of so called cyclotomic units

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 $\log C$  is a sublattice  $\log O_K^{\times}$ : close enough  $[\mathcal{O}_K^{\times}: C]$  very small

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The multiquadratic field associated with  $d_1, \ldots, d_n$  is  $\mathcal{K} := \mathbb{Q}\left(\sqrt{d_1}, \ldots, \sqrt{d_n}\right)$ .

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The multiquadratic field associated with  $d_1, \ldots, d_n$  is  $K := \mathbb{Q}(\sqrt{d_1}, \ldots, \sqrt{d_n})$ .

Subgroup generated by the units of all the quadratic subfields : full rank sublattice with an Orthogonal Basis but Too far away

Compute the full unit group Compute the generator of a principal ideal

Attack a cryptosystem

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# Outline

#### Motivation

- Cryptography
- Lattice-based cryptography

#### Recalls

- Lattices
- Cryptography and ideal lattices
- Cyclotomic and multiquadratic fields

### Our work

- General Framework
- Procedures
- Results

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$$\star K = \mathbb{Q}(\sqrt[3]{d_1}, \ldots, \sqrt[3]{d_n})$$

\*  $[K:\mathbb{Q}] = 3^n \iff \prod_{i=1}^n d_i^{\alpha_i}$  is not a cube, for all  $(\alpha_i)_i \in \llbracket 0, 2 \rrbracket^n$ 

\* *K* is **not Galois**, every complex embedding  $\sigma$  is given by its action on  $\sqrt[3]{d_i} \mapsto \zeta_3^{\beta_i} \sqrt[3]{d_i}$  with  $(\beta_i)_i \in [\![0,2]\!]^n$ 

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Complex embeddings and Galois closure

K is a multicubic field as before.

The Galois closure of K is  $\widetilde{K} = K(\zeta_3)$ 

 $\operatorname{Gal}(\widetilde{K}/\mathbb{Q}) \simeq \langle \tau \rangle \ltimes \langle \widetilde{\sigma} \mid \sigma \in \operatorname{Hom}(K,\mathbb{C}) \rangle \simeq \frac{\mathbb{Z}}{2\mathbb{Z}} \ltimes \left( \frac{\mathbb{Z}}{3\mathbb{Z}} \right)^n$ 

 $\diamond~\tau$  is the complex conjugaison

 $\diamond \ \tilde{\sigma}$  is the extension of  $\sigma$  which action is trivial on  $\zeta_3$ .

With the Galois correspondence : if F is a subfield of K then  $H(F) \simeq \langle \tau \rangle \ltimes \langle \tilde{\sigma}^{(1)}, \dots, \tilde{\sigma}^{(r)} \rangle$ 

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- $\star \ \sigma \in \operatorname{Hom}(K, \mathbb{C}) \iff \underline{\beta} \in \mathbb{F}_3^n$
- \* Cubic subfield  $\iff \underline{\alpha} \in \mathbb{F}_3^n \setminus \{0\} \mod[2]$  $\iff$  hyperplane in  $\mathbb{F}_3^n$
- \*  $\sigma$  action on  $CF(\underline{\alpha})$  given by  $\sum_{i=1}^{n} \alpha_i \beta_i$  in  $\mathbb{F}_3$  i.e.  $\underline{\beta} \in H_{\underline{\alpha}}(t)$  for  $t \in \mathbb{F}_3$ .

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# Units

Multiquadratic Fields

 $\star \ \mathcal{O}_K^{\times} \simeq \mathbb{Z}^{2^n-1}$ 

- $\star$  For Quadratic subfields : one fundamental unit  $\epsilon_{\underline{\alpha}}$
- $\star \ U = \langle -1, \epsilon_{\underline{\alpha}} \mid \underline{\alpha} \rangle \text{ subgroup of finite index}$
- $\star \ \{ \operatorname{Log}(\epsilon_{\underline{\alpha}}) \mid \underline{\alpha} \} \text{ is an orthogonal} \\ \text{basis of } \operatorname{Log}(U)$

Multicubic Fields  $\star \mathcal{O}_{K}^{\times} \simeq \mathbb{Z}^{\frac{3^{n}-1}{2}}$ 

- $\star$  For Cubic subfields : one fundamental unit  $\epsilon_{\underline{\alpha}}$
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Compute units from the Multiquadratic or Multicubic units : more efficient procedure and better geometry

How is it done though? Use relative norms.

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#### Multiquadratic Fields

#### Lemma

Let  $\sigma$  and  $\tau$  two independant elements of Gal( $K, \mathbb{C}$ ). For all  $x \in K^*$  we have  $x^2 \in K_{\sigma}K_{\tau}K_{\sigma\tau}$ .

$$(O_K^{ imes})^2 \subseteq O_{K_\sigma}^{ imes} O_{K_\tau}^{ imes} O_{K_{\sigma\tau}}^{ imes}$$

#### Multicubic Fields

#### Lemma

Let  $\sigma_1$  and  $\sigma_2$  two independant elements of Hom $(K, \mathbb{C})$ . For all  $x \in K^*$  we have  $x^3 \in K_{\tilde{\sigma}_1}K_{\tilde{\sigma}_2}K_{\tilde{\sigma}_1\tilde{\sigma}_2}K_{\tilde{\sigma}_1^2\tilde{\sigma}_2}$ .

 $(\mathcal{O}_{K}^{ imes})^{3}\subseteq \mathcal{O}_{K_{\widetilde{\sigma}}}^{ imes}\mathcal{O}_{K_{\widetilde{\tau}}}^{ imes}\mathcal{O}_{K_{\widetilde{\sigma}\widetilde{\tau}}}^{ imes}\mathcal{O}_{\widetilde{\sigma}^{2}\widetilde{\tau}}^{ imes}$ 

Multiquadratic Fields

- 1. Compute a subgroup such that  $(O_K^{\times})^2 \subset U \subset O_K^{\times}$ Recursive computation
- 2. Compute  $O_K^{\times}$  from UDetection of squares and computation of square-roots

### Multicubic Fields

- 1. Compute a subgroup such that  $(O_{K}^{\times})^{3} \subset U \subset O_{K}^{\times}$ Recursive computation
- 2. Compute  $O_K^{\times}$  from UDetection of cubes and **computation of cube-roots**

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### Solving the PIP General Procedure

Recall that we consider  $I = g\mathcal{O}_K$  a principal ideal. We want to find a generator h.

Multiquadratic Fields

1. Compute a generator of  $I^2$ 

Recursive computation on relative norms of I

 Deduce a generator of *I* Detection of an associate which is a square and computation of square-roots

#### Multicubic Fields

1. Compute a generator of  $I^3$ 

Recursive computation on relative norms of I.

2. Deduce a generator of *I* Detection of an associate which is a cube and **computation of cube-roots** 

Given  $S = \langle x_1, \ldots, x_m \rangle < K^*$  find  $(e_1, \ldots, e_m)$  s.t.  $x_1^{e_1} x_2^{e_2} \cdots x_m^{e_m}$  is a cube.

- 1. Find p such that :
  - $\diamond \ p \equiv 1 \bmod 3$
  - $\diamond$  every  $d_i$  has a cube root in  $\mathbb{F}_p$
  - $\diamond$  coefficients of every  $x_j$  can be reduced modulo p

$$\implies \phi_p: S \longrightarrow \mathbb{F}_p^*$$
 reduction morphism

2. Compose 
$$\phi_p$$
 with  $t \longmapsto t^{\frac{p-1}{3}}$  obtaining  $\chi_p : S \longrightarrow \mathbb{F}_3$ 

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- Consider  $S = \langle x_1, \ldots, x_m \rangle < K^*$ .
  - 1. Find  $\chi_1, \ldots, \chi_r$  sufficiently enough characters.
  - 2. Compute *M* the character matrix  $[\chi_j(x_i)]_{i,j}$ .
  - 3. Find K the kernel of M in  $\mathbb{F}_3$ .

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Consider  $K = \mathbb{Q}(\sqrt{d_1}, \dots, \sqrt{d_n})$  and  $L = \mathbb{Q}(\sqrt{d_1}, \dots, \sqrt{d_{n-1}})$ . Let  $h = g^2$ . Then if we write  $g = g_0 + g_1\sqrt{d_n}$  and  $h = h_0 + h_1\sqrt{d_n}$  we have :

$$h_0 = g_0^2 + d_n g_1^2$$
  
 $h_1 = 2g_0 g_1$   
 $N_{K/L}(g) = \sqrt{N_{K/L}(h)} = g_0^2 - g_1^2 d$ 

Compute recursively in *L* and solve the a sign problem.

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Consider 
$$K = \mathbb{Q}(\sqrt[3]{d_1}, \dots, \sqrt[3]{d_n})$$
 and  $L = \mathbb{Q}(\sqrt[3]{d_1}, \dots, \sqrt[3]{d_{n-1}})$ . Let  $h = g^3$ . Then if we write  $g = g_0 + g_1\sqrt[3]{d_n} + g_2\sqrt[3]{d_n}^2$  and  $h = h_0 + h_1\sqrt[3]{d_n} + h_2\sqrt[3]{d_n}^2$  we have :

$$\begin{split} h_0 &= g_0^3 + g_1^3 d_n + g_2^3 d_n^2 + 6g_0 g_1 g_2 d_n \\ y_1 &= 3(g_0^2 g_1 + g_1^2 g_2 d_n + g_2^2 g_0 d_n) \\ y_2 &= 3(g_0^2 g_2 + g_1^2 g_0 + g_2^2 g_1 d_n) \\ \mathrm{N}_{K/L}(g) &= g_0^3 + g_1^3 d_n + g_2^3 d_n^2 - 3g_0 g_1 g_2 d_n. \end{split}$$

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Consider  $\mathbf{v}_i$  the column vector of  $(b_i)_i$  computed in  $\mathbb{R}$  up to a given precision *l*.

Let 
$$M_I = [\mathbf{v}_I \mid C \cdot I_N]$$
 and  $L_I, U_I = \text{LLL}(M_I)$ .

Consider  $\mathbf{x} = [x_I | \mathbf{0} | B]$  with *B* an upper bound of the norms of the row vectors of  $L_I$ .

Compute 
$$R = LLL\left(\left[\frac{L_l \mid \mathbf{0}}{\mathbf{x}}\right]\right)$$

Cube root candidate : 
$$\frac{1}{C}(R_{N+1,2},\ldots,R_{N+1,N+1})$$

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Precision needed : experiments suggest  $N ||y||_2$ 

Complexity : polynomial in N and length of  $||y||_2$ .

Cons : heuristic method.

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# Experimental Results

#### Computation of units

First prime	2	3	5	7	11	13	17	19	23	29
$\mathcal{O}_{K}^{\times}$ (times in s)	0.260	0.260	0.260	0.270	0.290	0.350	0.330	0.360	0.480	0.320
CubeRoot (times in s)	0.010	0.010	0.010	0.010	0.000	0.050	0.060	0.070	0.180	0.010
# cube roots	3	3	1	1	1	1	1	2	3	1
Average logarithm of the Norm of cubes	3	18	31	45	24	215	270	175	162	70

First prime	2	3	5	7	11	13	17	19	23	29
$\mathcal{O}_{K}^{\times}$ (times in s)	2.110	2.250	2.490	4.500	2.780	18.780	4.060	24.810	9.230	24.420
CubeRoot (times in s)	0.060	0.180	0.350	2.310	0.350	15.980	1.020	16.540	5.950	16.490
# cube roots	3	4	3	4	2	5	4	5	4	3
Average logarithm of the Norm of cubes	13	29	46	127	83	404	112	398	313	781

Table: Times and data for Algorithm for number fields defined by consecutive primes for n = 2 and 3

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### Experimental Results Computing units

First prime	2	3	5	7	11	13	17
$\mathcal{O}_{K}^{\times}$ (times in s)	39.670	71.160	157.460	873.670	7479.250	9862.540	29308.850
CubeRoot (times in s)	19.220	47.270	130.240	832.780	7370.470	9271.600	28425.140
# cube roots	14	12	10	11	11	11	13
Average logarithm of the Norm of cubes	29	75	168	533	1090	2178	3295

First prime	2	3	5
$\mathcal{O}_{K}^{\times}$ (times in s)	16026.410	87701.680	566029.130
CubeRoot (times in $s$ )	15246.560	85036.150	562127.470
# cube roots	36	36	48
Average logarithm of the Norm of cubes	63	199	531

Table: Times and data for Algorithm for number fields defined by consecutive primes for n = 4 and 5

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Figure: Times in seconds to compute  $\mathcal{O}_{K}^{\times}$  in function of the product of the regulators of the cubic subfields of K for n = 2. (Axes are in logarithmic scales.)



Figure: Times in seconds to compute  $\mathcal{O}_{K}^{\times}$  in function of the product of the regulators of the cubic subfields of K for n = 3. (Axes are in logarithmic scales.)



Figure: Times in seconds to compute  $\mathcal{O}_{K}^{\times}$  in function of the product of the regulators of the cubic subfields of K for n = 4. (Axes are in logarithmic scales.)

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### Experimental Results Solving the SGPIP

First prime	2	3	5	7	11	13	17	19	23	29
Consecutive	35.20	90.80	98.40	98.20	100.0	100.0	99.70	99.80	100.0	100.0
	46.20	91.50	98.40	98.20	100.0	100.0	99.70	99.80	100.0	100.0
Arithmetic	69.90	95.10	98.60	97.40	100.0	99.80	100.0	99.80	100.0	100.0
	75.20	95.10	98.60	97.40	100.0	99.80	100.0	99.80	100.0	100.0

First prime	2	3	5	7	11	13	17	19	23	29
Consecutive	46.00	93.30	100.0	99.91	100.0	100.0	100.0	100.0	100.0	100.0
	46.40	93.30	100.0	99.91	100.0	100.0	100.0	100.0	100.0	100.0
Arithmetic	84.10	99.59	100.0	99.50	100.0	n/a	n/a	n/a	n/a	n/a
	84.10	99.59	100.0	99.50	100.0	n/a	n/a	n/a	n/a	n/a

First prime	2	3	5	7	11	13	17	19
Consecutive	64.20	99.91	100.0	100.0	100.0	100.0	100.0	100.0
	64.20	99.91	100.0	100.0	100.0	100.0	100.0	100.0
Arithmetic	95.00	100.0	100.0	100.0	100.0	n/a	n/a	n/a
	95.00	100.0	100.0	100.0	100.0	n/a	n/a	n/a

Table: Percentages of keys recovered for n = 2, 3 and 4

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- ◊ Biasse, van Vredendaal (2018): Same general framework to compute S−units and class groups in multiquadratic fields
- ◊ If we consider exponents p bigger than 3 : the unit group of subfields of degree p will not be computed by a single fundamental unit anymore ⇒ we do not start with an orthogonal basis
- ◊ Can we find other algebraic relations to take advantage of?

Thank you for your attention.

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